

# Typed ASMs with updateable locations as values<sup>\*</sup>

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A formal model of the state of a dynamic system with updateable locations as values is presented. A mechanism of dynamic function declaration resembling that of variable declaration in programming languages is suggested. With each of these functions a dynamic access function is associated. An access function can be used either explicitly or implicitly like in programming languages (explicit or implicit dereferencing). An update of an access function causes the update of the corresponding location. A procedure parameter declared as a reference parameter accepts locations as arguments so that a value associated with the location can be updated by the procedure.

**Keywords:** dynamic systems, formal methods, implicit state, updateable locations.

## 1. Introduction

States of dynamic systems as *algebras* are widely investigated in recent years (see the list of references). State components that can be different in different states are normally represented by so-called *dynamic functions* updateable by special operations, *modifiers*. There are elementary modifiers updating a function at a single point and user-defined modifiers constructed as combinations of modifiers and updating one or several functions at several points. Different techniques for the specification of modifiers are proposed. Pre- and post-conditions are used in [2, 7], conditional replacement rules in [4, 5], transition rules in [6, 11], dynamic terms in [12], update expressions in [3, 8].

A common feature of all approaches listed above is the absence of a built-in notion of a *location* value. Any function produces a value of a definite sort. No function can produce a location which can be further dereferenced if needed. For this reason, a notion like l-value of C<sup>++</sup> cannot naturally be modeled. In [4] location (reference) types can be declared explicitly and then implicitly supplied with content functions extracting values associated with locations. However, these functions must always be used explicitly, thus preventing the passing of a location instead of a value if needed. At the same time we know that passing a location instead of a value is a normal

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practice of imperative programming languages. Moreover, declaring a function parameter as a location (l-value), one can implement the mechanism of *call-by-reference* permitting the update of an argument location. All present models of states provide only the mechanism of call-by-value.

The purpose of this work is the suggestion of a state model with *location values*. The main task is the provision of a mechanism of dynamic function declaration resembling that of variable declaration in programming languages. With each of these functions (called *location functions* in this paper) a dynamic *access function* should be associated. An access function can be used either explicitly or implicitly like in programming languages (explicit or implicit dereferencing). An update of an access function must lead to the update of the corresponding location. A modifier (*procedure* in this paper) parameter declared as a reference parameter must serve as an alias of an argument location so that a value associated with the location can be updated by the procedure. In this way a suitable model of an imperative language can be developed.

An ASM is usually a transition rule recursively built from function updates and the skip rule by a number of rule constructors. The semantics of the transition rule is defined in [6] in terms of *update sets*. Each update in the set is a pair  $(loc, val)$ , where  $loc$  is a location and  $val$  a value to be associated with it. A location is a pair  $(f, \langle a_1, \dots, a_n \rangle)$  representing an  $n$ -ary function  $f$  applied to a tuple of elements  $\langle a_1, \dots, a_n \rangle$ , the value  $val$  is then the value to be produced by  $f(a_1, \dots, a_n)$  in the new state. In this approach a location can never be a value used and/or produced by another function. It can only be considered as the name of a value.

We should introduce another kind of update if locations are considered as values produced by functions. Thus, if  $name$  is a pair  $(f, \langle a_1, \dots, a_n \rangle)$ , where  $f$  is a function producing locations, and  $loc$  is a location, then the above update is transformed in the pair  $(name, loc)$  associating a location with a certain location name. In addition to this, an update  $(loc, val)$  associates the value  $val$  with the location  $loc$ . Another kind of state update occurs when a location is created or destroyed. For this reason the set of update instructions should be expanded accordingly. These extensions are described in this paper which is organized as follows.

A notion of the static part of the state of a dynamic system is given in Section 2. Components of the state including location sorts and location and access functions are formally described in Section 3. Primitives for state updates are introduced in Section 4. Dependant functions defined in terms of location and access functions and serving for observing the state are defined in Section 5. Procedures serving for updating the state are introduced in Section 6. A formal definition of a dynamic system with implicit state is

given in Section 7. Transition rules as a means of defining state transformations are described in Section 8. Dynamic formulae serving for procedure specification are defined in Section 9, and the whole structure of a dynamic system specification is given in Section 10. Some conclusions and directions of further work are outlined in Section 11.

## 2. Static structure

A notion of dynamic system and its signature will be introduced in this paper. It is sufficient to indicate for the beginning that the signature of a dynamic system includes a part  $\Sigma_{dat} = (S_{dat}, F_{dat})$  which defines some data types (sorts and operations), using the facilities of an algebraic specification language. These data types are used for the specification of system states and the description of possible state updates. We do not suggest a mechanism for the specification of this part of the system. Any specification language whose semantics is given as a class of many-sorted algebras is suitable for this purpose.

A  $\Sigma_{dat}$ -algebra is called a *data algebra* in the sequel.

## 3. State

The system states are defined by *location sorts*, *location functions* and *access functions*. The names and profiles of location functions  $F_{loc}$  are introduced in the second part of the system signature  $\Delta_{dyn}$  with the use of sort terms defined in the following way.

**Definition 1.** Sort terms:

- 1) given  $\Sigma_{dat} = (S_{dat}, F_{dat})$ , if  $\mathbf{s} \in S_{dat}$ , then  $s$  is a sort term;
- 2) if  $s$  is a sort term, then  $loc(s)$  is a sort term.

An element of  $F_{loc}$  is called a *function symbol*. It has the following form:  $f_{ws}$ , where  $w = s_1 \dots s_n$  is a sequence of sort terms called *domain units* and  $s$  is a sort term called a *codomain unit*;  $ws$  is a *function profile*.

The set  $F_{loc}$  consists of three subsets,  $C_{loc}$ ,  $UF_{loc}$  and  $SF_{loc}$ , called *constants*, *unique functions* and *shared functions*, respectively. We require that  $UF_{loc} \cap SF_{loc} = \emptyset$ , i. e., there cannot exist a unique function and a shared function with the same name and profile. As it will be defined in the sequel, the range of a unique function does not intersect with the range of any other function while the range of a shared function can intersect with the range of another shared function. A constant, i. e., a function symbol without domain units, can also be shared (i. e., a constant may be in the range of

a shared function). In the examples which follow, a constant symbol  $c_s$  is preceded with a keyword **const**, a unique function symbol  $f_{ws}$  is preceded with a keyword **ufunc**, and a shared function symbol  $f_{ws}$  is preceded with a keyword **sfunc**.

**Example.** Suppose we wish to maintain a double-linked list of locations storing data of type **Node** with a distinguished node **Header** and an array of nodes. In this case, we can do the following declarations:

```

System LINKED_LIST ** a list of locations containing nodes
use NAT, NODE; ** the specifications used
location
  const head: Node;
  sfunc next: Node  $\rightarrow$  Node;
  sfunc prev: Node  $\rightarrow$  Node;
  ufunc array: Nat  $\rightarrow$  Node;

```

In this example,

$$\begin{aligned}
 F_{loc} &= \{head_{Node}, next_{Node, Node}, prev_{Node, Node}, array_{Nat, Node}\}, \\
 SF_{loc} &= \{head_{Node}, next_{Node, Node}, prev_{Node, Node}\}, \\
 UF_{loc} &= \{array_{Nat, Node}\}.
 \end{aligned}$$

Given  $F_{loc}$ , let  $S'$  be the set of sort terms constructed as follows:

- if  $s$  is a domain unit in the profile of some  $f_{ws'} \in F_{loc}$ , then  $s \in S'$ ;
- if  $s$  is a codomain unit in the profile of some  $f_{ws} \in F_{loc}$ , then both  $s \in S'$  and  $loc(s) \in S'$ .

The set  $S_{loc} = S' \setminus S$  is called the set of *location sorts*. Note that the set is finite for a finite  $F_{loc}$ .

**Example.** For the system LINKED\_LIST we have the following sets  $S'$  and  $S_{loc}$ :

$$\begin{aligned}
 S' &: \{Nat, Node, loc(Node)\}; \\
 S_{loc} &: \{loc(Node)\};
 \end{aligned}$$

$F_{loc}$  is now extended by the set  $F_{acc}$  of *access function* symbols  $\downarrow_{loc(s), s}$  for each  $loc(s) \in S_{loc}$ . For example, we have the following access function for the system LINKED\_LIST:

$$\downarrow_{loc(Node), Node};$$

If  $l$  and  $a$  are elements of respective sorts  $loc(s)$  and  $s$  such that  $l! = a$ , then

$a$  is the *content* of the location  $l$ . The application of the function " $!$ " to its argument  $l$  is called the *dereferencing* of  $l$ .

A signature extension  $\Delta_{dyn} = \langle S_{loc}, F_{dyn} \rangle$ , where  $F_{dyn} = F_{loc} \cup F_{acc}$ , is called a *dynamic signature extension*.

An algebra  $\mathbf{A}$  of the signature  $\Sigma = \Sigma_{dat} \cup \Delta_{dyn}$  is created by extending a  $\Sigma_{dat}$ -algebra  $\mathbf{A}_{dat}$  with:

- a set of elements (called *locations*)  $|\mathbf{A}|_{loc(s)}$  for each  $loc(s) \in S_{loc}$ ;
- an element  $c^{\mathbf{A}} \in |\mathbf{A}|_{loc(s)}$  for each constant symbol  $c_s$  from  $F_{loc}$ ;
- a partial function  $\mathbf{f}^{\mathbf{A}} : |\mathbf{A}|_{s_1} \times \dots \times |\mathbf{A}|_{s_n} \longrightarrow |\mathbf{A}|_{loc(s)}$  for each  $f_{s_1 \dots s_n, s} \in F_{loc}$ ;
- a partial function  $\mathbf{!}^{\mathbf{A}} : |\mathbf{A}|_{loc(s)} \longrightarrow |\mathbf{A}|_s$  for each  $!_{loc(s), s} \in F_{acc}$ .

Each  $\Sigma$ -algebra must satisfy the following *state invariant*:

- each constant is supplied with a different location,
- unique functions are injective, and
- the range of a unique function does not intersect with both the range of any other function from  $F_{loc}$  and the set of locations assigned to constant symbols.

A location constant can be considered as a counterpart of a program variable having an address different from the addresses of all other variables, and a unique location function can be considered as a counterpart of a program array where elements have different addresses and there is a unique address for each index value (note that a unique function is injective).

The set of all locations in an algebra  $\mathbf{A}$  is denoted by  $|\mathbf{A}|_{loc}$  in the sequel. The range of a function  $f$  in  $\mathbf{A}$  is denoted by  $\mathbf{Ran}(\mathbf{f}^{\mathbf{A}})$ , the range of a constant  $c$  in  $\mathbf{A}$  is a singleton set  $\{c^{\mathbf{A}}\}$ .

The set of  $\Sigma$ -terms is created by extending the usual way of term construction with the following rules:

- if  $f_{s_1 \dots s_n, s} \in F_{dat}$  and  $t_i, i = 1, \dots, n$ , is a term either of sort  $s_i$  or  $loc(s_i)$ , then  $f(t_1, \dots, t_n)$  is a term of sort  $s$ ;
- if  $f_{s_1 \dots s_n, s} \in F_{loc}$  and  $t_i, i = 1, \dots, n$ , is a term either of sort  $s_i$  or  $loc(s_i)$ , then  $f(t_1, \dots, t_n)$  is a term of sort  $loc(s)$ ;
- if  $l$  is a term of sort  $loc(s)$ , then  $l!$  is a term of sort  $s$ .

Thus, a term of sort  $loc(s)$  can be used where a term of sort  $s$  is needed. Note that a term constructed with the use of the name of a location function is always a location sort term.

A term constructed in this way is interpreted in a  $\Sigma$ -algebra  $\mathbf{A}$  as follows:

- if  $c$  is a constant name, then it is interpreted by  $c^A$ ;
- if  $f$  is a (partial) function symbol with the profile  $s_1 \dots s_n, s$  and  $t_i$ ,  $i = 1, \dots, n$ , is a term either of sort  $s_i$  or  $loc(s_i)$ , then  $f(t_1, \dots, t_n)^A = \mathbf{f}^A(v_1^A, \dots, v_n^A)$  where

$$v_i = \begin{cases} t_i & \text{if } t_i \text{ is a term of sort } s_i, \\ t_i! & \text{if } t_i \text{ is a term of sort } loc(s_i); \end{cases}$$

- $f(t_1, \dots, t_n)^A$  is undefined if at least one of  $v_i^A$  is undefined or  $\mathbf{f}^A$  is undefined for  $(v_1^A, \dots, v_n^A)$ .

Note that a term of sort  $loc(s)$  is dereferenced when it is substituted for a term of sort  $s$ . This rule may lead to ambiguities if there are overloaded function names in the signature (the model allows for function overloading). For instance, there could be the following function declaration in the system LINKED\_LIST:

next: loc(Node)  $\longrightarrow$  Node;

In this case, if  $ln$  is a term of type Node, the function call  $next(ln)$  may refer to both  $next$  functions. The mechanism of best matching like that in C++ may be used in such a case.

A location sort, location function, and access function can be different in different states.

**Definition 2.** A  $\Sigma$ -state is a  $\Sigma$ -algebra where  $\Sigma = \Sigma_{dat} \cup \Delta_{dyn}$ . ■

Let  $\Sigma_{stat} = \Sigma_{dat} \cup C_{loc}$ . The restriction of any  $\Sigma$ -state  $\mathbf{A}$  to  $\Sigma_{stat}$ ,  $\mathbf{A}|_{\Sigma_{stat}}$ , is a static algebra called the *base* of  $\mathbf{A}$ . Several  $\Sigma$ -states can have the same base. Following [8], we denote the set of all  $\Sigma$ -states with the same base  $\mathbf{B}$  by  $\mathbf{state}_{\mathbf{B}}(\Sigma)$  and mean by a  $\Sigma_{\mathbf{B}}$ -state a  $\Sigma$ -state with the static algebra  $\mathbf{B}$ . Thus, the carrier of any sort  $s \in S_{dat}$  in a  $\Sigma$ -state  $\mathbf{A}$  is the same as the carrier of  $s$  in  $\mathbf{B}$ , that is  $\mathbf{s}^{\mathbf{A}} = \mathbf{s}^{\mathbf{B}}$  for every  $s \in S_{dat}$ . Note that the set of locations associated with constant symbols is the same in all states (however, the content of the locations can be different).

## 4. State updates

One state can be transformed into another by a *state modifier*, which is either a *function update* or a *location update* or a *sort update*.

**Definition 3.** A *function update* in a  $\Sigma_{\mathbf{B}}$ -state  $\mathbf{A}$  is a triple  $(\mathbf{f}, \bar{\mathbf{a}}, \mathbf{l})$  where  $\mathbf{f}$  is a function symbol in  $UF_{loc} \cup SF_{loc}$  with a profile  $ws$ ,  $w = s_1 \dots s_n$ ,  $\bar{\mathbf{a}} = \langle \mathbf{a}_1, \dots, \mathbf{a}_n \rangle \in |\mathbf{A}|_{\mathbf{w}}$ , and  $\mathbf{l}$  is either an element of  $|\mathbf{A}|_{loc(s)}$  or the symbol  $\perp$ . ■

A function update  $\alpha = (\mathbf{f}, \bar{\mathbf{a}}, \mathbf{l})$  serves for the transformation of a  $\Sigma_B$ -state  $\mathbf{A}$  into a new  $\Sigma_B$ -state  $\mathbf{A}\alpha$  in the following way:

- $\mathbf{g}^{\mathbf{A}\alpha} = \mathbf{g}^{\mathbf{A}}$  for any  $g$  different from  $f$  in  $F_{dyn}$ ;
- $\mathbf{f}^{\mathbf{A}\alpha}(\bar{\mathbf{a}}) = \mathbf{l}$  if  $\mathbf{l}$  is not  $\perp$ ,  $\mathbf{f}^{\mathbf{A}\alpha}(\bar{\mathbf{a}})$  becomes undefined otherwise;
- $\mathbf{f}^{\mathbf{A}\alpha}(\bar{\mathbf{a}}') = \mathbf{f}^{\mathbf{A}}(\bar{\mathbf{a}}')$  for any tuple  $\bar{\mathbf{a}}' = \langle \mathbf{a}'_1, \dots, \mathbf{a}'_n \rangle$  different from  $\bar{\mathbf{a}}$ ;
- $|\mathbf{A}\alpha|_s = |\mathbf{A}|_s$  for any  $s \in S_{loc}$ .

Following Gurevich [6], we say that  $\mathbf{A}\alpha$  is obtained by firing the update  $\alpha$  on  $\mathbf{A}$ . Roughly speaking, firing a function update either inserts an element to the definition domain of a location function or modifies the value of such a function at one point in its definition domain or removes an element from the definition domain.

Note that  $\mathbf{f}$  in a triple  $(\mathbf{f}, \bar{\mathbf{a}}, \mathbf{l})$  is actually a function symbol, i. e., a function name qualified by its profile, which helps us to avoid ambiguity when function names are overloaded.

**Fact 1.** *A function update  $(\mathbf{f}, \bar{\mathbf{a}}, \mathbf{l})$  is legal in  $\mathbf{A}$  if:*

- $\mathbf{l} \notin \text{Ran}(\mathbf{g}^{\mathbf{A}})$  for any  $g \in F_{loc}$  if  $f \in UF_{loc}$ ;
- $\mathbf{l} \notin \text{Ran}(\mathbf{h}^{\mathbf{A}})$  for any  $h \in UF_{loc}$  if  $f \in SF_{loc}$ . ■

Indeed, the first case guarantees that an update of a unique function does not violate the state invariant, and the second case guarantees that an update of a shared function does not violate the state invariant.

**Definition 4.** A *location update* in a  $\Sigma_B$ -state  $\mathbf{A}$  is a triple  $(\mathbf{s}, \mathbf{l}, \mathbf{a})$ , where  $\mathbf{s}$  is a sort name,  $\mathbf{l}$  an element of sort  $|\mathbf{A}|_{loc(\mathbf{s})}$ , and  $\mathbf{a}$  either an element of sort  $|\mathbf{A}|_s$  or the symbol  $\perp$ . ■

A location update  $\beta = (\mathbf{s}, \mathbf{l}, \mathbf{a})$  serves for the transformation of a  $\Sigma_B$ -state  $\mathbf{A}$  into a new  $\Sigma_B$ -state  $\mathbf{A}\beta$  in the following way:

- $\mathbf{g}^{\mathbf{A}\beta} = \mathbf{g}^{\mathbf{A}}$  for any  $g$  in  $F_{dyn}$  different from  $\_!_{loc(\mathbf{s}), \mathbf{s}}$ ;
- $(\mathbf{l}!)^{\mathbf{A}\beta} = \mathbf{a}$  if  $\mathbf{a}$  is not  $\perp$ ,  $(\mathbf{l}!)^{\mathbf{A}\beta}$  becomes undefined otherwise;
- $(\mathbf{l}')^{\mathbf{A}\beta} = (\mathbf{l}')^{\mathbf{A}}$  for any  $\mathbf{l}' \in |\mathbf{A}|_{loc(\mathbf{s})}$  different from  $\mathbf{l}$ ;
- $|\mathbf{A}\beta|_s = |\mathbf{A}|_s$  for any  $s \in S_{loc}$ .

We say that  $\mathbf{A}\beta$  is obtained by applying (or firing) the update  $\beta$  on  $\mathbf{A}$ . Roughly speaking, firing a location update either initializes a location or updates its content or makes its content undefined. The following fact is self-evident:

**Fact 2.** *A location update is legal, i. e., it does not violate the state invariant.* ■

**Definition 5.** Let  $\mathbf{s}$  be the name of a location sort from  $S_{loc}$ . A *sort update*  $\delta$  in  $\mathbf{A}$  is either a triple  $(+, \mathbf{s}, \mathbf{l})$  where  $\mathbf{l}$  is an element such that  $\mathbf{l} \notin |\mathbf{A}|_{loc}$ , or a triple  $(-, \mathbf{s}, \mathbf{l})$  where  $\mathbf{l}$  is an element of  $|\mathbf{A}|_{\mathbf{s}}$  that is neither used nor produced by constants or functions associated with symbols from  $F_{loc}$ . ■

A sort update  $\delta = (+, \mathbf{s}, \mathbf{l})$  transforms a  $\Sigma_B$ -state  $\mathbf{A}$  into a new  $\Sigma_B$ -state  $\mathbf{A}\delta$  in the following way:

- $|\mathbf{A}\delta|_{\mathbf{s}} = |\mathbf{A}|_{\mathbf{s}} \cup \{\mathbf{l}\}$ ;
- $|\mathbf{A}\delta|_{\mathbf{s}'} = |\mathbf{A}|_{\mathbf{s}'}$  for any  $\mathbf{s}' \in S_{loc}$  different from  $\mathbf{s}$ ;
- $\mathbf{f}^{\mathbf{A}\delta} = \mathbf{f}^{\mathbf{A}}$  for any  $f_{ws} \in F_{dyn}$ .

Thus, the sort update  $\delta = (+, \mathbf{s}, \mathbf{l})$  extends the set of elements of a certain location sort by a new element different from any location existing in  $\mathbf{A}$ .

A sort update  $\delta = (-, \mathbf{s}, \mathbf{l})$  transforms a  $\Sigma_B$ -state  $\mathbf{A}$  into a new  $\Sigma_B$ -state  $\mathbf{A}\delta$  in the following way:

- $|\mathbf{A}\delta|_{\mathbf{s}} = |\mathbf{A}|_{\mathbf{s}} \setminus \{\mathbf{l}\}$ ;
- $|\mathbf{A}\delta|_{\mathbf{s}'} = |\mathbf{A}|_{\mathbf{s}'}$  for any  $\mathbf{s}'$  different from  $\mathbf{s}$ ;
- $\mathbf{f}^{\mathbf{A}\delta} = \mathbf{f}^{\mathbf{A}}$  for any  $f_{ws} \in F_{dyn}$ .

Thus, the sort update  $\delta = (-, \mathbf{s}, \mathbf{l})$  contracts the set of elements of a certain location sort by an element that is not associated with a constant symbol and not in the graph of any location function.

**Fact 3.** Both kinds of sort updates are legal, i. e., none of them violates the state invariant. ■

Indeed, in a sort update  $\delta = (+, \mathbf{s}, \mathbf{l})$  the location  $\mathbf{l}$  is not yet in the range of any function, and in a sort update  $\delta = (-, \mathbf{s}, \mathbf{l})$  the location  $\mathbf{l}$  is not in the range of any function by definition.

We will consider only legal state updates in the sequel. A set of legal function/location/sort updates is called an *update set*.

**Definition 6.** Let  $\Gamma$  be a set of function/location/sort updates. The set  $\Gamma$  is *inconsistent* if it contains:

- two contradictory function updates of the following kind:  $\alpha_1 = (\mathbf{f}, \bar{\mathbf{a}}, \mathbf{a})$  and  $\alpha_2 = (\mathbf{f}, \bar{\mathbf{a}}, \mathbf{a}')$ , where  $\mathbf{a} \neq \mathbf{a}'$  (two contradictory function updates define the function differently at the same point), or
- two contradictory location updates of the following kind:  $\beta_1 = (\mathbf{s}, \mathbf{l}, \mathbf{a})$  and  $\beta_2 = (\mathbf{s}, \mathbf{l}, \mathbf{a}')$ , where  $\mathbf{a} \neq \mathbf{a}'$  (two contradictory location updates define an access function differently at the same point);

the update set is *consistent* otherwise. ■

A consistent update set  $\Gamma$  applied to a  $\Sigma_B$ -state  $\mathbf{A}$  transforms  $\mathbf{A}$  into a new  $\Sigma_B$ -state  $\mathbf{A}'$  by the simultaneous firing of all  $\alpha \in \Gamma$ ,  $\beta \in \Gamma$ , and  $\delta \in \Gamma$ . If  $\Gamma$  is inconsistent, the new state is not defined. If  $\Gamma$  is empty,  $\mathbf{A}'$  is the same as  $\mathbf{A}$ . Following [9], we denote the application of  $\Gamma$  to a state  $\mathbf{A}$  by  $\mathbf{A}\Gamma$ . The set of all consistent sets of updates in  $\text{state}_B(\Sigma)$  is denoted by  $\overline{\text{update}}_B(\Sigma)$  in the sequel.

**Definition 7.** Let  $\Gamma_1$  and  $\Gamma_2$  be two consistent update sets in a  $\Sigma_B$ -state  $\mathbf{A}$ ,  $\alpha_1 = (\mathbf{f}, \langle \mathbf{a}_1, \dots, \mathbf{a}_n \rangle, \mathbf{a})$ ,  $\alpha_2 = (\mathbf{f}, \langle \mathbf{a}_1, \dots, \mathbf{a}_n \rangle, \mathbf{a}')$ ,  $\beta_1 = (\mathbf{s}, \mathbf{l}, \mathbf{a})$ , and  $\beta_2 = (\mathbf{s}, \mathbf{l}, \mathbf{a}')$  where  $\mathbf{a} \neq \mathbf{a}'$ . The *sequential union* of  $\Gamma_1$  and  $\Gamma_2$ , denoted by  $\Gamma_1; \Gamma_2$ , is defined as follows:  $\mathbf{u} \in \Gamma_1; \Gamma_2$  iff  $\mathbf{u} \in \Gamma_1$  or  $\mathbf{u} \in \Gamma_2$  except the following cases:

- if  $\alpha_1 \in \Gamma_1$  and  $\alpha_2 \in \Gamma_2$ , then  $\alpha_2 \in \Gamma_1; \Gamma_2$  and  $\alpha_1 \notin \Gamma_1; \Gamma_2$ ;
- if  $\beta_1 \in \Gamma_1$  and  $\beta_2 \in \Gamma_2$ , then  $\beta_2 \in \Gamma_1; \Gamma_2$  and  $\beta_1 \notin \Gamma_1; \Gamma_2$ . ■

Thus, in a sequential union of update sets, each next update of a location/access function at a certain point waives each preceding update of this function at the same point. It is not difficult to prove the following:

**Fact 4.** *If  $\Gamma_1 \cup \Gamma_2$  is consistent, then for any consistent  $\Gamma$ :*

$$\Gamma; \Gamma_1 \cup \Gamma; \Gamma_2 = \Gamma; (\Gamma_1 \cup \Gamma_2).$$

**Fact 5.** *If  $\Gamma$ ,  $\Gamma_1$  and  $\Gamma_2$  are consistent update sets, then*

$$\Gamma; \Gamma_1 = \Gamma; \Gamma_2 \text{ if } \Gamma_1 = \Gamma_2.$$

**Fact 6.** *For any  $\Sigma_B$ -state  $\mathbf{A}$  and all consistent update sets  $\Gamma_1$  and  $\Gamma_2$  in  $\Sigma_B$ -states,  $\mathbf{A}(\Gamma_1; \Gamma_2) = (\mathbf{A}\Gamma_1)\Gamma_2$ .*

As an immediate consequence, for any sequence of update sets  $\Gamma_1, \dots, \Gamma_n$  and any algebra  $\mathbf{A}$ , the algebra  $\mathbf{A}\Gamma$ , where  $\Gamma = \Gamma_1; \dots; \Gamma_n$ , is the algebra produced by the application of  $\Gamma$  to  $\mathbf{A}$ .

## 5. Dependant functions

A number of *dependant (derived) functions* can be defined on the  $\text{state}_B(\Sigma)$  using the functions from  $\Delta_{dyn}$  and the operations from  $\Sigma_{dat}$ . The values produced by these functions depend both on the particular state and the values of arguments if any. The names and profiles of these functions,  $\Delta_{dep} = (F_{dep})$ , are introduced in the third part of the system signature with the use of sorts of  $\Sigma$ . For example, the following dependant functions can be defined in the system LINKED\_LIST:

**depend**

**func** has: Node  $\longrightarrow$  Boolean; **\*\*** *checks whether a node is in the list*

**func** find: Node  $\longrightarrow$  loc(Node); **\*\*** *fetches a location with a given node*

**Definition 8.** A  $\Sigma'_B$ -state is a  $\Sigma'$ -algebra with the static algebra  $\mathbf{B}$  where  $\Sigma' = \Sigma \cup \Delta_{dep}$ . ■

A  $\Sigma'$ -algebra  $\mathbf{A}'$  is created by extending a  $\Sigma$ -algebra  $\mathbf{A}$  with a (partial) function  $\mathbf{f}^{\mathbf{A}} : |\mathbf{A}|_{s_1} \times \dots \times |\mathbf{A}|_{s_n} \longrightarrow |\mathbf{A}|_s$  for each  $f_{s_1 \dots s_n, s} \in F_{dep}$ .

Thus, dependant functions extend a  $\Sigma_B$ -state to a  $\Sigma'_B$ -state. The set of all  $\Sigma'_B$ -states with the same static algebra  $\mathbf{B}$  is denoted by  $\mathbf{state}_B(\Sigma')$ .  $\Sigma'$ -terms are constructed in the same way as  $\Sigma$ -terms using function symbols from  $F_{dep}$  in addition.

## 6. Procedures

A state update modifies location sorts and/or location and access functions. Several state updates can be performed by a *procedure* defined in the fourth part of the system signature  $\Delta_{proc}$  that consists of a set  $P_w$  of *procedure names* where  $w$  is a sequence of *argument sorts*. An argument sort is either  $s$  or *ref*  $s$  where  $s \in S_{dat} \cup S_{loc}$ . An argument sort of the form  $s$  indicates that an element of the corresponding sort is passed to the procedure when it is invoked (*call-by-value*) while an argument sort of the form *ref*  $s$  indicates that an element of sort  $loc(s)$  is passed to the procedure when it is invoked (*call-by-reference*). For example, the following procedures can be defined in the system LINKED\_LIST:

**proc**

initialize; **\*\*** *construction of the empty list*

insert: loc(Node), Node; **\*\*** *insertion of a node in the list*

update: ref Node, Node; **\*\*** *update of the node data*

## 7. Dynamic system

**Definition 9.** A dynamic system  $\mathbf{DS}(\mathbf{B})$  of signature  $D\Sigma = \Sigma' \cup \Delta_{proc}$  consists of

- a set of states  $|\mathbf{DS}(\mathbf{B})| = \mathbf{state}_B(\Sigma')$  with the same static algebra  $\mathbf{B}$  called the *carrier* of the system;
- a partial surjective function  $\mathbf{map}^{\mathbf{DS}(\mathbf{B})} : \mathbf{state}_B(\Sigma) \longrightarrow |\mathbf{DS}(\mathbf{B})|$  such that, for each  $\mathbf{A} \in \mathbf{state}_B(\Sigma)$ ,  $\mathbf{map}^{\mathbf{DS}(\mathbf{B})}(\mathbf{A})|_{\Sigma} = \mathbf{A}$  if  $\mathbf{map}^{\mathbf{DS}(\mathbf{B})}(\mathbf{A})$  is defined;

- a partial map  $\mathbf{p}^{\text{DS}(\mathbf{B})}$ , for each procedure symbol  $p_{u_1 \dots u_n}$ , where  $u_i$  is either  $s_i$  or  $\text{ref } s_i$ , associating an update set  $\Gamma \in \overline{\text{update}}_{\mathbf{B}}(\Sigma)$  with each pair  $\langle \mathbf{A}, \langle \mathbf{a}_1, \dots, \mathbf{a}_n \rangle \rangle$ , where  $\mathbf{A} \in |\text{DS}(\mathbf{B})|$  and  $\mathbf{a}_i \in |\mathbf{A}|_{\text{loc}(s_i)}$  if  $u_i$  is  $\text{ref } s_i$  and  $\mathbf{a}_i \in |\mathbf{A}|_{s_i}$  in the opposite case. ■

Note that the procedure produces an update set.

We write  $\mathbf{p}^{\text{DS}(\mathbf{B})}(\mathbf{A}, \bar{\mathbf{a}})$  for the application of a procedure  $\mathbf{p}^{\text{DS}(\mathbf{B})}$  to  $\langle \mathbf{A}, \bar{\mathbf{a}} \rangle$ , where  $\mathbf{A}$  is a state, and  $\bar{\mathbf{a}} = \langle \mathbf{a}_1, \dots, \mathbf{a}_n \rangle$ . When  $n = 0$ , the tuple  $\bar{\mathbf{a}}$  is the empty tuple  $\langle \rangle$ .

A new kind of term, *transition term*, is created with the use of procedure names.

**Definition 10.** If  $p_{u_1 \dots u_n}$  is a procedure symbol, where  $u_i$ ,  $i = 1, \dots, n$ , is either  $s_i$  or  $\text{ref } s_i$ , and

$$t_i \text{ is } \begin{cases} \text{a } \Sigma' \text{-term of sort } \text{loc}(s_i) \text{ when } u_i \text{ is } \text{ref } s_i, \\ \text{a term either of sort } s_i \text{ or } \text{loc}(s_i) \text{ in the opposite case,} \end{cases}$$

then  $p(t_1, \dots, t_n)$  is a *transition term* called a *procedure call*. ■

**Interpretation.** Let  $\text{DS}(\mathbf{B})$  be a dynamic system of signature  $D\Sigma = \Sigma' \cup \Delta_{\text{proc}}$  and  $p(t_1, \dots, t_n)$  a transition term constructed as above. We denote by  $t^{\mathbf{A}}$  the interpretation of a  $\Sigma$ -term  $t$  in a  $\Sigma_B$ -state  $\mathbf{A}$  and by  $\llbracket t \rrbracket^{\mathbf{A}(B)}$  the interpretation of a transition term  $t$  in  $\text{DS}(\mathbf{B})$  in the current  $\Sigma_B$ -state  $\mathbf{A}$ . The interpretation of a procedure call  $p(t_1, \dots, t_n)$  is defined as follows:

$$\llbracket p(t_1, \dots, t_n) \rrbracket^{\mathbf{A}(B)} = \mathbf{p}^{\text{DS}(\mathbf{B})}(\mathbf{A}, \langle \mathbf{v}_1^{\mathbf{A}}, \dots, \mathbf{v}_n^{\mathbf{A}} \rangle), \text{ where}$$

$$v_i = \begin{cases} t_i & \text{if either } u_i \text{ is } \text{ref } s_i \text{ and } t_i \text{ is a term of sort } \text{loc}(s_i) \\ & \text{or } u_i \text{ is } s_i \text{ and } t_i \text{ is a term of sort } s_i, \\ t_i! & \text{if } u_i \text{ is } s_i \text{ and } t_i \text{ is a term of sort } \text{loc}(s_i), \end{cases}$$

if  $\mathbf{p}^{\text{DS}(\mathbf{B})}$  is defined for the tuple  $\langle \mathbf{v}_1^{\mathbf{A}}, \dots, \mathbf{v}_n^{\mathbf{A}} \rangle$  in the state  $\mathbf{A}$ ;  $\llbracket p(t_1, \dots, t_n) \rrbracket^{\mathbf{A}(B)}$  is undefined otherwise.

Thus, an argument is dereferenced when a location is substituted where its content is needed, and it is directly substituted in all other cases.

## 8. Transition rules

State updates are specified by means of *transition rules*. A transition rule is a special kind of transition term. It is applicable either to a location function or to an access function from  $F_{\text{dyn}}$ . The successful interpretation of a transition term  $R$  in a dynamic system  $\text{DS}(\mathbf{B})$  in a state  $\mathbf{A}$  produces an

update set  $\Gamma$ . The resulting state  $\mathbf{A}'$  can be obtained as  $\mathbf{A}' = \text{map}^{\text{DS}(\mathbf{B})}(\mathbf{A} |_{\Sigma} \Gamma)$ . That is, the current  $\Sigma'_B$ -state is reduced to a  $\Sigma_B$ -state, updated by  $\Gamma$ , and converted into the corresponding  $\Sigma'_B$ -state. This two-way transformation of a state is needed for providing the appropriate dependant functions in the resulting state.

### 8.1. Basic transition rules

**Update instructions.** Let  $f$  be the name of a partial location function with profile  $s_1 \dots s_n s$ ,  $t_i$ ,  $i = 1, \dots, n$ , a term either of sort  $s_i$  or  $\text{loc}(s_i)$ ,  $t$  a term either of sort  $s$  or  $\text{loc}(s)$ , and  $lt$  a term of sort  $\text{loc}(s)$  over signature  $\Sigma$ . Then

$$\begin{aligned} f(t_1, \dots, t_n) &\leftarrow lt, \\ f(t_1, \dots, t_n) &\leftarrow \text{undef}, \\ lt &:= t, \\ lt &:= \text{undef} \end{aligned}$$

are transition rules called *update instructions*. The first two instructions serve for updating a partial location function while the second two serve for updating an access function.

**Interpretation.** Let  $\mathbf{A}$  be the current state of  $\text{DS}(\mathbf{B})$ . If  $lt$ ,  $t$  and  $t_i$  are defined in  $\mathbf{A}$ , then

$$\begin{aligned} \llbracket f(t_1, \dots, t_n) \leftarrow lt \rrbracket^{\mathbf{A}(\mathbf{B})} &= \{(\mathbf{f}, \langle \mathbf{v}_1^{\mathbf{A}}, \dots, \mathbf{v}_n^{\mathbf{A}} \rangle, \mathbf{1t}^{\mathbf{A}})\}, \\ \llbracket f(t_1, \dots, t_n) \leftarrow \text{undef} \rrbracket^{\mathbf{A}(\mathbf{B})} &= \{(\mathbf{g}, \langle \mathbf{v}_1^{\mathbf{A}}, \dots, \mathbf{v}_n^{\mathbf{A}} \rangle, \perp)\}, \\ \llbracket lt := t \rrbracket^{\mathbf{A}(\mathbf{B})} &= \{(\mathbf{s}, \mathbf{1t}^{\mathbf{A}}, \mathbf{v}^{\mathbf{A}})\}, \\ \llbracket lt := \text{undef} \rrbracket^{\mathbf{A}(\mathbf{B})} &= \{(\mathbf{s}, \mathbf{1t}^{\mathbf{A}}, \perp)\}, \end{aligned}$$

where  $v = t$  and  $v_i = t_i$  if  $t$  and  $t_i$  are terms of sorts  $s$  and  $s_i$ , respectively, and  $v = t!$  and  $v_i = t_i!$  if  $t$  and  $t_i$  are terms of sorts  $\text{loc}(s)$  and  $\text{loc}(s_i)$ , respectively. If at least one of  $v_i$ ,  $lt$ ,  $v$  is not defined in  $\mathbf{A}$ , then the semantics of a rule including this term is undefined.

**Examples.** Let  $x_{\text{Nat}}$  be a location constant and  $f_{\text{Nat}, \text{Nat}}$  a partial location function symbol from  $F_{\text{loc}}$ . The execution of the transition rule

$$f(x) := f(x) + 1$$

will transform a state  $\mathbf{A}$  into a state  $\mathbf{A}'$  so that  $f^{\mathbf{A}'}(x!^{\mathbf{A}})! = f^{\mathbf{A}}(x!^{\mathbf{A}})! + 1$ . The execution of the transition rule

$$x := \text{undef}$$

will make  $x!$  undefined in the new state. The execution of the transition rule

$$f(x) \leftarrow l,$$

where  $l$  is a term of sort  $loc(Nat)$ , will transform a state  $\mathbf{A}$  into a state  $\mathbf{A}'$  so that  $f^{\mathbf{A}'}(x!^{\mathbf{A}}) = l^{\mathbf{A}}$ .

**Fact 7.** *An update instruction  $f(t_1, \dots, t_n) \leftarrow lt$  evaluates in  $\mathbf{A}$  to a legal function update if:*

- $lt^{\mathbf{A}} \notin \text{Ran}(g^{\mathbf{A}})$  for any  $g \in F_{loc}$  if  $f \in UF_{loc}$ ;
- $lt^{\mathbf{A}} \notin \text{Ran}(h^{\mathbf{A}})$  for any  $h \in UF_{loc}$  if  $f \in SF_{loc}$ ;

*all other kinds of update instruction always evaluate to a legal function update.* ■

The fact immediately follows from facts 1 and 2.

**Sort contraction instruction.** If  $x$  is a variable of a location sort  $s$ , then **drop**  $x$  is a transition term called a *sort contraction instruction*.

**Interpretation.** Let  $\mathbf{A}$  be a state and  $\sigma : \{x\} \rightarrow |\mathbf{A}|$  a variable assignment. Then

$\llbracket \text{drop } x \rrbracket^{A(B), \sigma} = \{\delta\}$  where  $\delta = (-, \mathbf{s}, \mathbf{x}^{\mathbf{A}, \sigma})$  if there is no constant  $c$  such that  $c^{\mathbf{A}} = \mathbf{x}^{\mathbf{A}, \sigma}$  and no location function  $\mathbf{f}^{\mathbf{A}} : |\mathbf{A}|_{s_1} \times \dots \times |\mathbf{A}|_{s_n} \rightarrow |\mathbf{A}|_{s_{n+1}}$  with a maplet  $\langle \mathbf{a}_1, \dots, \mathbf{a}_n \mapsto \mathbf{a}_{n+1} \rangle$  such that  $\mathbf{x}^{\mathbf{A}, \sigma} = \mathbf{a}_i$ ,  $i = 1, \dots, n + 1$ ;

$\llbracket \text{drop } t \rrbracket^{A(B), \sigma} = \emptyset$  otherwise.

**Fact 8.** *The interpretation of the sort contraction instruction does not violate the state invariant.* ■

Indeed, the interpretation of the sort contraction instruction produces either a legal sort update or the empty update set. Therefore, an element of a location sort is not deleted if it is used in this state.

**Skip instruction.** A transition term **skip** causes no state update, i. e.,  $\llbracket \text{skip} \rrbracket^{A(B)} = \emptyset$ .

## 8.2. Rule constructors

Complex transition terms are recursively constructed from update instructions and procedure calls by means of several rule constructors, e.g., *sequence constructor*, *set constructor*, *condition constructor*, *guarded update*, and *loop constructors*.

**Sequence constructor.** If  $R_1, \dots, R_n$  are transition terms, then **seq**  $R_1, \dots, R_n$  **end** is a transition term called a *sequence of transition rules*.

**Interpretation.** Let  $A$  be a state,  $\Gamma_1 = \llbracket R_1 \rrbracket^{A(B)}$ ,  $A_1 = A\Gamma_1$ ,  $\Gamma_2 = \llbracket R_2 \rrbracket^{A_1(B)}$ ,  $A_2 = A_1\Gamma_2$ ,  $\dots$ ,  $\Gamma_n = \llbracket R_n \rrbracket^{A_{n-1}(B)}$ . Then

$$\llbracket \mathbf{seq} R_1, R_2, \dots, R_n \mathbf{end} \rrbracket^{A(B)} = \Gamma,$$

where  $\Gamma = \Gamma_1; \Gamma_2; \dots; \Gamma_n$  and each  $\llbracket R_i \rrbracket^{A_{i-1}(B)}$  is defined.

Thus, to execute a sequence of rules starting with a state  $A$ , it is sufficient to create the sequential union of their update sets and use it for the transformation of  $A$  (which is equivalent to the sequential execution of the rules one after another).

**Set constructor.** If  $R_1, \dots, R_n$  are transition terms, then  $\mathbf{set} R_1, \dots, R_n \mathbf{end}$  is a transition term called a *set of transition rules*.

**Interpretation.** Let  $A$  be a state and  $\Gamma_1 = \llbracket R_1 \rrbracket^{A(B)}$ ,  $\dots$ ,  $\Gamma_n = \llbracket R_n \rrbracket^{A(B)}$ . Then

$$\llbracket \mathbf{set} R_1, \dots, R_n \mathbf{end} \rrbracket^{A(B)} = \Gamma_1 \cup \dots \cup \Gamma_n$$

if each  $\llbracket R_i \rrbracket^{A(B)}$  is defined and  $\Gamma_1 \cup \dots \cup \Gamma_n$  is consistent; the semantics is not defined otherwise.

In other words, to execute a set of rules, execute all of them in parallel and unite the results if they are defined and consistent.

**Example.** Let  $x_{Nat}, y_{Nat}, z_{Nat}, f_{Nat, Nat}$  be location function symbols from  $F_{loc}$ . Then the execution of a set of rules:

**set**  $f(x) := y, y := x, x := z$  **end**

will produce a new state  $A'$  where  $f^{A'}(x!^A) = y!^A$ ;  $y!^{A'} = x!^A$ ;  $x!^{A'} = z!^A$ .

**Conditional constructor.** If  $k$  is a natural number,  $g_0, \dots, g_k$  are Boolean terms, and  $R_0, \dots, R_k$  are transition terms, then the following expression is a transition term called a *conditional transition rule*:

```

if  $g_0$  then  $R_0$ 
elseif  $g_1$  then  $R_1$ 
    .
    .
elseif  $g_k$  then  $R_k$ 
endif

```

If  $g_k$  is the constant *true*, then the last **elseif** clause can be replaced with **else**  $R_k$ .

**Interpretation.** Let  $A$  be a state and  $R$  a conditional transition rule, then

$$\llbracket R \rrbracket^{A(B)} = \llbracket R_i \rrbracket^{A(B)}$$

if  $g_i$  holds in  $\mathbf{A}$ , but every  $g_j$  with  $j < i$  fails in  $\mathbf{A}$ .  $\llbracket R \rrbracket^{A(B)} = \emptyset$  if every  $g_i$  fails in  $\mathbf{A}$ .

A **guarded update** instruction is a rule of the form **if  $g$  then  $R$**  where  $g$  is a Boolean term and  $R$  is a transition term.

**Interpretation.** Let  $R1 = \mathbf{if } g \mathbf{ then } R$ . Then  $\llbracket R1 \rrbracket^{A(B)} = \llbracket R \rrbracket^{A(B)}$  if  $g$  holds in  $\mathbf{A}$ ;  $\llbracket R1 \rrbracket^{A(B)} = \emptyset$  otherwise. In other words, perform the transition if the condition holds and do nothing in the opposite case.

**Loop constructors.** The guarded update together with the sequence constructor gives us a possibility to define some loop constructors. If  $R$  is a transition term and  $g$  is a Boolean term, then

**while  $g$  do  $R$  and  
do  $R$  until  $g$**

are transition terms called *loops*.

**Interpretation.**

$$\begin{aligned} \llbracket \mathbf{while } g \mathbf{ do } R \rrbracket^{A(B)} &= \llbracket \mathbf{if } g \mathbf{ then seq } R, \mathbf{ while } g \mathbf{ do } R \mathbf{ end} \rrbracket^{A(B)}; \\ \llbracket \mathbf{do } R \mathbf{ until } g \rrbracket^{A(B)} &= \llbracket \mathbf{seq } R, \mathbf{ if } \neg g \mathbf{ then do } R \mathbf{ until } g \rrbracket^{A(B)}. \end{aligned}$$

**Import constructor.** If  $x$  is a variable,  $s$  is a location sort name,  $R$  is a transition term using  $x$  as a term of type  $loc(s)$  and not having  $x$  as a fresh variable, and there is no total location function symbol  $f_{ws'}$  such that  $s$  is in  $w$ , then

**import  $x$ :  $s$  in  $R$**

is a transition term with a fresh variable  $x$  called an *import term*.

**Interpretation.**

$$\llbracket \mathbf{import } x : s \mathbf{ in } R \rrbracket^{A(B)} = \{\delta\}; \llbracket R \rrbracket^{A'(B), \sigma}$$

where  $A' = \mathbf{A}\delta$ ,  $\delta = (+, s, \mathbf{a})$  for some  $\mathbf{a} \notin |\mathbf{A}|_{loc}$ , and  $\sigma = \{x \mapsto \mathbf{a}\}$  is a variable assignment.

Note that one cannot insert a new element into a location sort if the sort is used in the domain of a total function (otherwise the function becomes partial).

### 8.3. Massive update

A massive update permits the specification of a parallel update of one or more sorts/functions at several points. The corresponding transition term has the following form:

**forall  $x_1 : s_1, \dots, x_n : s_n. R$ ,**

where  $x_1, \dots, x_n$  are variables of sorts  $s_1, \dots, s_n$ , respectively, and  $R$  is a transition term having no free variables.

**Interpretation.** Let  $\mathbf{A}$  be a state. Then for all  $\sigma : \{x_1, \dots, x_n\} \rightarrow |\mathbf{A}|$ :

- if  $\Gamma = \bigcup \{ \llbracket R \rrbracket^{A(B), \sigma} \}$  is defined and consistent, then

$$\llbracket \text{forall } x_1 : s_1, \dots, x_n : s_n. R \rrbracket^{A(B)} = \Gamma;$$

- $\llbracket \text{forall } x_1 : s_1, \dots, x_n : s_n. R \rrbracket^{A(B)}$  is not defined otherwise.

**Example.** Let  $f_{Nat, Nat}$  be a location function. A transition rule

$$\text{forall } x : \text{Nat}. f(x) := f(x) + 1$$

is equivalent to the set of rules

$$\{(f(t) := f(t) + 1) : t \in T(\Sigma')_{Nat}\}.$$

This means that  $f^{A'}(t^A)! = f^A(t^A)! + 1$  if  $f^A(t^A)!$  is defined for all  $t$ .

## 9. Dynamic formulae

For the specification of dynamic systems we introduce dynamic formulae which can be either *procedure definition* or *precondition* formula.

$$F ::= p(x_1, \dots, x_n) = tt \mid \mathbf{pre} p(t_1, \dots, t_n) : \varphi$$

A procedure definition serves for the specification of the behavior of a procedure in terms of a transition rule, and a precondition formula allows us to define the domain of a partial procedure.

### 9.1. Procedure definition

A procedure definition has the following form:

$$p(x_1, \dots, x_n) == tt$$

where  $p_{u_1 \dots u_n}$  is a procedure symbol such that  $u_i$  is either  $s_i$  or  $\text{ref } s_i$ ,  $X = \{x_1, \dots, x_n\}$  a set of variables such that

$$x_i \text{ is of sort } \begin{cases} s_i & \text{if } u_i \text{ is } s_i, \\ \text{loc}(s_i) & \text{if } u_i \text{ is } \text{ref } s_i, \end{cases}$$

and  $tt$  a transition term over  $X$ .

The variables  $x_1, \dots, x_n$  are called *procedure parameters*, and the term  $tt$  is called a *procedure body*. A procedure parameter  $x_i$  is called a *value parameter* if  $u_i$  is  $s_i$ , and it is called a *reference parameter* if  $u_i$  is  $\text{ref } s_i$ .

A dynamic system  $\text{DS}(\mathbf{B})$  satisfies a procedure definition  $p(x_1, \dots, x_n) == tt$  iff for all states  $\mathbf{A}$  of  $\text{DS}(\mathbf{B})$  and variable assignments  $\sigma : X \rightarrow |\mathbf{A}|$ :

$$\mathbf{A}|_{\Sigma} \llbracket p(x_1, \dots, x_n) \rrbracket^{A(B), \sigma} = \mathbf{A}_2$$

where the algebra  $\mathbf{A}_2$  is created as follows.

We know that in a programming language a value parameter is typically regarded in the procedure body as a local variable (location) whose update does not influence the environment in which the procedure is called. We will follow the same line in giving semantics for our procedure definition. Let  $\bar{\Sigma}$  be the extension of  $\Sigma'$  by symbols  $y_i : loc(s_i)$  for those  $1 \leq i \leq n$  for which  $u_i$  is  $s_i$  in the profile of  $p$ , such that no  $y_i$  is an operation (function) symbol in  $\Sigma'$ . A variable  $x_i$  is said to *correspond* to  $y_i$  in the sequel. Given a  $\Sigma'$ -algebra  $\mathbf{A}$ , a  $\bar{\Sigma}$ -algebra  $\bar{\mathbf{A}}$  is created as an extension of  $\mathbf{A}$  in the following way:

- initially  $|\bar{\mathbf{A}}| = |\mathbf{A}|$ ; then, for each  $u_i$  that is  $s_i$  in the profile of  $p$ , the sort  $\bar{\mathbf{A}}_{loc(s_i)}$  is extended by an element  $y_i^{\bar{\mathbf{A}}} \notin loc(\mathbf{A})$ ,
- each  $y_i$  is mapped to the element  $y_i^{\bar{\mathbf{A}}}$ .

Note that a sort  $\bar{\mathbf{A}}_{loc(s)}$  will be expanded several times if  $s$  is used several times in the profile of  $p$  as a value parameter sort.

Now  $\mathbf{A}_2 = (\bar{\mathbf{A}}\bar{\Gamma})|_{\Sigma}$  where

$$\bar{\Gamma} = \llbracket \mathbf{seq\ set\ } y_i := x_i \mathbf{\ end,\ } tt' \mathbf{\ end} \rrbracket^{\bar{\mathbf{A}}, \sigma}$$

and  $\mathbf{set\ } y_i := x_i \mathbf{\ end}$  means the parallel update of each  $y_i$  by the corresponding  $x_i$ , the term  $tt'$  is obtained from  $tt$  by replacing with  $y_i$  each  $x_i$  corresponding to  $y_i$  (this means that the term  $tt$  is type-checked only when it is converted into  $tt'$ , so the specifier, when writing the term  $tt$ , may consider  $x_i$  as a term of sort  $loc(s_i)$ , i. e., may assign to it).

Thus, a value parameter  $x_i : s_i$  is a term of sort  $loc(s_i)$  in the procedure body, like a location constant  $c : s_i$  is a term of sort  $loc(s_i)$ . This corresponds one-to-one to the practice of imperative programming languages.

**Example.** Let

$$p: int, loc(int);$$

be a procedure declaration and  $x : int$ ,  $l : loc(int)$  be variables. Then the right-hand side of procedure definition

$$p(x, l) == l := x;$$

will be converted into the transition term

$$\mathbf{seq\ set\ } xy := x, ly := l \mathbf{\ end,\ } ly := xy \mathbf{\ end}$$

where  $ly$  and  $xy$  are local location constants of sorts  $loc(loc(int))$  and  $loc(x)$ , respectively, initialized by procedure arguments. Therefore, the interpretation of the instruction  $ly := xy$  will actually put the location  $xy$  into the location  $ly$  without any effect on the state in which  $p$  is invoked. However, if

the right-hand side of procedure definition were the instruction  $l! := x$ , then the value residing in the location  $xy$  (i. e.,  $x$ ) would be put in the location  $l$  passed as argument, and the state in which  $p$  is invoked would be updated.

If the procedure is declared as follows:

$$p: \text{int}, \text{ref int};$$

and defined as follows:

$$p(x, l) == l := x;$$

then the right-hand side of the definition will be converted into the transition term

$$\mathbf{seq\ set\ } xy := x \mathbf{end}, l := xy \mathbf{end}.$$

Its interpretation will put  $x$  in the location  $l$ , thus updating the state in which the procedure is invoked.

## 9.2. Precondition formula

A *precondition formula* of the form

$$\mathbf{pre\ } p(t_1, \dots, t_n) : \varphi,$$

where  $p$  is a procedure name,  $t_1, \dots, t_n$  terms over  $\Sigma'$  with variables from a set  $X$ , and  $\varphi$  a Boolean term, can be used to state under what conditions a partial procedure  $p$  is guaranteed to produce a result.

A dynamic system  $\mathbf{DS(B)}$  satisfies a precondition formula

$$\mathbf{pre\ } p(t_1, \dots, t_n) : \varphi$$

iff  $\mathbf{p}^{\mathbf{DS(B)}}(\mathbf{A}, \langle \mathbf{t}_1^{\mathbf{A}, \sigma}, \dots, \mathbf{t}_n^{\mathbf{A}, \sigma} \rangle)$  is defined only in those states  $\mathbf{A}$  and only for those variable assignments  $\sigma : X \rightarrow |\mathbf{A}|$  for which  $\varphi$  holds.

## 10. Specification of a dynamic system

Let  $DSS = \langle (\Sigma_{dat}, Ax_{dat}), (\Delta_{dyn}), (\Delta_{dep}, Ax_{dep}), (\Delta_{proc}, Ax_{proc}) \rangle$  be a dynamic system specification. It has four levels:

- The first level is an algebraic specification  $\langle \Sigma_{dat}, Ax_{dat} \rangle$  which defines the data types used in the system.
- The second level defines those aspects of the system state which are likely to change. It includes a signature extension  $F_{loc}$  that declares some *location* functions.  $F_{loc}$  is extended to  $\Delta_{dyn}$  as described in Section 3.

A model of the  $\langle \Sigma_{dat} \cup \Delta_{dyn}, Ax_{dat} \rangle$  specification is a  $\Sigma$ -algebra where  $\Sigma = \langle \Sigma_{dat} \cup \Delta_{dyn} \rangle$ .

- The third level defines some dependant functions. It uses the sort terms from  $\Sigma$  and  $\Delta_{dyn}$ , the names of locaton/access functions from  $\Delta_{dyn}$ , the names of dependant functions from  $\Delta_{dep}$ , and the operations of  $\Sigma_{dat}$ . The specification  $\langle \Delta_{dep}, Ax_{dep} \rangle$  must be sufficiently complete and hierarchically consistent with respect to  $\langle \Sigma, Ax_{dat} \rangle$ . This reflects the fact that a  $\Sigma$ -state is a  $\Sigma$ -algebra where  $\Sigma = \langle \Sigma_{dat} \cup \Delta_{dyn} \rangle$ . A model of the  $\langle \Sigma \cup \Delta_{dep}, Ax_{dep} \rangle$  specification is a  $\Sigma'$ -algebra where  $\Sigma' = \langle \Sigma \cup \Delta_{dep} \rangle$ . Thus, a formula from  $Ax_{dep}$  must hold in any  $\Sigma'$ -state of the dynamic system. Note that the function  $map^{DS(B)}$  maps  $\Sigma$ -states to those  $\Sigma'$ -states which satisfy all formulae from  $Ax_{dep}$ .

Since a dependant function can be partial, a definedness predicate  $\mathbf{D}$  is used in specifications. That is, if  $t$  is a term, then the predication  $\mathbf{D}(t)$  holds in an algebra  $\mathbf{A}$  iff  $t$  is defined in it. A precondition formula of the form **dom**  $t : bt$  states in the specification that the term  $t$  is defined iff the Boolean term  $bt$  evaluates to true.

- The fourth level,  $\langle \Delta_{proc}, Ax_{proc} \rangle$ , defines some *procedures* by means of *dynamic formulae*. If a dynamic formula  $de$  holds in  $\mathbf{DS(B)}$ , we say that  $\mathbf{DS(B)}$  is a *model* of  $de$ . A dynamic formula  $de$  is *consistent* if there is at least one model of it.

If  $DE$  is a set of consistent dynamic formulae, then  $\mathbf{DS(B)}$  is a model of  $DE$  if each  $de \in DE$  holds in  $\mathbf{DS(B)}$ .

**Example.** Specification of the "LINKED\_LIST" system.

**System** LINKED\_LIST \*\* *we ignore the function prev in this specification*  
**use** BOOLEAN, NAT, NODE; \*\* *the specifications used*

**location**

**const** head: Node;  
**sfunc** next: Node  $\longrightarrow$  Node;  
**ufunc** array: Nat  $\longrightarrow$  Node;

**depend**

**func** local\_has: loc(Node), Node  $\longrightarrow$  Boolean;  
 \*\* *auxiliary function needed for the specification of the next one*

**func** has: Node  $\longrightarrow$  Boolean;

**func** local\_find: loc(Node), Node  $\longrightarrow$  loc(Node);

\*\* *auxiliary function needed for the specification of the next one*

**func** find: Node  $\longrightarrow$  loc(Node);

**var** ln: loc(Node), n: Node.

• **dom** find(n): has(n);

• local\_has(ln, n) ==

**if**  $\neg \mathbf{D}(\ln!)$  **then** false

```

        elseif ln! = n then true else local_has(next(ln), n);
    • has(n) == local_has(next(head), n);
    • local_find(ln, n) == if ln! = n then ln else local_find(next(ln), n);
    • find(n) == local_find(next(head), n);
proc
  initialize; ** construction of the empty list
  insert: loc(Node), Node; ** insertion of a node in the middle of the list
  update: ref Node, Node; ** update of the node data
  local_delete: ref Node, Node;
    ** auxiliary procedure needed for the specification of the next one
  delete: Node;
  var ln: loc(Node), n: Node.
  • pre delete(n): has(n);
  • collect_garbage == forall l: loc(Node). drop l;
  • initialize == seq forall l: loc(Node). set l := undef, next(l) ← undef end,
    collect_garbage end;
    ** parallel deletion of all elements of the sort loc(Node)
  • insert(ln, n) == import new_loc: loc(Node) in
    set next(ln) ← new_loc, next(n) ← next(ln), update(new_loc, n) end;
  • update(ln, n) == ln := n;
  • local_delete(ln, n) == ** it is assumed that the list contains n
    if next(ln) = n
    then next(ln) ← next(next(ln))
    else local_delete(next(ln), n);
  • delete(n) == local_delete(head, n);
end.

```

## 11. Conclusion

A model of the state of a dynamic system as a many-sorted algebra with updateable locations as values is given in the paper. The model provides both the mechanism of call-by-value and the mechanism of call-by-reference typical of imperative programming languages. A specification technique for this model is suggested. The use of this technique for program specification permits one to write specifications naturally refined to practical programs. On the other hand, the model can serve as a high-level representation of the semantics of an imperative language.

In the present form, the model still lacks many means needed for a suitable modeling of programming languages, e.g., local objects in procedures and function parameters. All of this is a subject of further research.

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